

NATIONAL INVESTMENT TRUST LIMITED

Declaration Form For FATCA (Foreign Account Tax Compliance Act, USA)
For Corporate

Section 3: Passive Non-Financial Foreign Entity

If you are a Passive Non-Financial Foreign Entity, we are required to establish whether any Controlling Person (refer Glossary for meaning of Controlling Person) is a U.S. citizen or resident in the U.S. for tax purposes. Please provide certification for all such controlling persons of the entity.*

S.No	Full Name	US Citizen	US Resident	Place of Birth	Telephone Number	Address
1		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No			
2		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No			
3		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No			
4		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No			

*If additional self-certifications are required, please copy this page.

Declaration:

- We hereby confirm the information provided above is true, accurate and complete.
- Subject to applicable local laws, we hereby consent for NITL, to share our information with domestic or overseas regulators or tax authorities where necessary to establish our tax liability in any jurisdiction.
- Where required by domestic or overseas regulators or tax authorities, we consent & agree that NITL may withhold from our account(s) such amounts as may be required according to applicable laws, regulations and directives.
- We undertake to notify NITL within 30 calendar days if there is a change in any information which we have provided to NITL.
- We will indemnify and hold harmless NITL from any loss, action, cost, expense (including, but not limited to sums paid in settlement of claims, reasonable attorneys' and Consultant fees, and expert fees), claim, damages, or liability which arises or is incurred by NITL in discharging its obligations under FATCA and/or as a result of disclosures to the US tax authorities.

Company Secretary / Authorized Signatories

Name: _____ Signature: _____ Date: _____

Glossary

Financial Institution

The term "Financial Institution" means a Custodial Institution, a Depository Institution, an Investment Entity, or a Specified Insurance Company as defined below:

- Custodial Institution: Any Entity that holds, as a substantial portion of its business, financial assets for the account of others.
- Depository Institution: Any Entity that accepts deposits in the ordinary course of a banking or similar business.
- Investment Entity: Any Entity that conducts as a business (or is managed by an entity that conducts as a business) one or more of the following activities or operations for or on behalf of a customer:
 1. Trading in money market instruments (cheques, bills, certificates of deposit, Derivatives, etc.); foreign exchange; interest rate and index instruments; transferable securities; or commodity futures trading;
 2. Individual and collective portfolio management; or
 3. Otherwise investing, administering, or managing funds or money on behalf of other persons.

Exempt Beneficial Owner

The term "Exempt Beneficial Owner" means:

- Governmental Entity
- International Organization
- Central Bank
- Pension Fund of an Exempt Beneficial Owner
- Investment Entity wholly owned by Exempt Beneficial Owners

Participating Foreign Financial Institution (PFFI)

A Participating Foreign Financial Institution is a FFI that enters into an agreement with the US Internal Revenue Service (IRS) to undertake certain due diligence, withholding and reporting requirement for US account holders, including an FFI that is treated as a Reporting FI under a Model 2 IGA and that is certifying that it will comply with the terms of an FFI Agreement, as modified by the terms of the applicable Model 2 IGA.