



NATIONAL INVESTMENT TRUST LIMITED

CUSTOMER DUE DILIGENCE (CDD)/ KNOW YOUR CUSTOMER (KYC) & REGISTRATION FORM FOR INDIVIDUAL AND CORPORATE INVESTORS NIT-R

* Mandatory Fields

DATE (DD / MM / YY):

UNIT HOLDER INFORMATION: *Title of Account, *Company Name, *Type of Company, *Type of Organization, *CNIC, *Nationality, *Father's/Husband's Name, *Address, *Phone No., *Marital Status, *Occupation, *Source(s) of Income

JOINT UNIT HOLDER(S) / AUTHORIZED SIGNATORIES INFORMATION: Table with columns for Name, Father/Husband Name, CNIC No., and Expiry Date

NOMINEE (FOR MINORS): Table with columns for Name of Child, B' Form No., Date of Birth, Share in %, Guardian Name, Guardian CNIC No., and Relation to Minor

INVESTMENT DETAILS: OPTIONS: Tick (✓) whichever is applicable. EQUITY SCHEME, SHARIAH COMPLIANT EQUITY SCHEME, INCOME SCHEMES, MONEY MARKET SCHEME, SHARIAH COMPLIANT INCOME SCHEME

BANK MANDATE: Bank Mandate (Applicable for transfer/distribution of Cash Dividend): Name of Bank, A/c./IBAN No., Br. Code, Branch Address, City

OTHER INSTRUCTIONS: Account Operating Instruction, Facility of Client Report System (CRS) required, Zakat Deduction

DECLARATION: Table with columns for Principal Holder, 1st Joint Holder, 2nd Joint Holder, 3rd Joint Holder, 4th Joint Holder and rows for acting on behalf of another person, holding a senior position, offshore tax havens, high value items, and financial institution refusal

I/we hereby confirm that I/we have read and understood the instructions mentioned herein which apply to National Investment Trust Limited (NIT) and its funds National Investment (Unit) Trust Fund, NIT Government Bond Fund, NIT Income Fund, NIT Islamic Equity Fund, NIT Government Treasury Fund and NIT Islamic Income Fund and any other fund(s) offered by NIT from time to time, for compliance of Customer Due Diligence (CDD) and Know Your Client (KYC) procedures for transaction in Funds' units issued by NIT.

SIGNATURES: Table with columns for Principal Unit Holder / Signatory-1, 1st Joint Holder / Signatory-2, 2nd Joint Holder / Signatory-3, 3rd Joint Holder / Signatory-4, 4th Joint Holder / Signatory-5, and Official Stamp (For Institution Only)

FOR BRANCH USE ONLY: DATE, TIME, Branch / Distributor Name, Customer Risk, Registration ID, Account No(s), Form reviewed and checked by, Data entered by, Branch Stamp & Signature of the Branch Manager / Authorized Official, FOR UNIT HOLDER RECORD SECTION USE: CNIC(s) Verification from NADRA

NOTES TO THE INVESTOR:

Risk Disclaimer: Prices of units of the funds, and income from them may go up or down. In Fixed Periodic Payment Plan, the principal amount may be reduced in case sufficient returns are not earned by the Fund to cover the amount required by the Unit Holder. Therefore, the resulting payment may lead to erosion of principal.

Investors are advised in their own interest to carefully read the contents of the Offering Document and Trust Deed of the respective funds, in particular the Investment Policy, Risk Disclosure, Disclaimers and Warnings before making any investment decision.

Product Information: Read the offering document and product information carefully and consult your Investment Advisor before making investment decisions.

Account Opening: Please note that as required by the SECP, NIT reserves the right to refuse to open or terminate any Account at its discretion for reasons including unsatisfactory completion of CDD / KYC measures. CDD/KYC information is sought in compliance with Rules & Regulations governing NBFC's and NE's, which shall be applicable as amended from time to time.

Reinvestment Option: Upon death of a unit holder, the CIP/Reinvestment Option shall automatically be converted to the Cash Dividend Option as of the date of intimation of death (Death Certificate) received by NIT.

Dividend Options:

Fund	Plan	Dividend Option
NI(U)T Fund	Regular	Reinvestment/CIP
		Cash Dividend
NIT-IEF	Growth Units	Reinvestment
		Cash Dividend
NIT-GBF / NIT-IF	Growth Units	Reinvestment
		Cash Dividend
	Flexible Income Units	Reinvestment
		Cash Dividend
	Fixed Income Units	Reinvestment
		Cash Dividend
NIT-GTF / NIT-IIF	Growth Units	Reinvestment
		Cash Dividend
	Income Units	Cash Dividend
		Cash Dividend
	Flexible Income Units	Cash Dividend
		Cash Dividend
	Fixed Income Units	Cash Dividend
		Cash Dividend

Regular Income Option:

- NIT-GBF & NIT-IF: For Flexible and Fixed Income administrative plans only units shall be issued to the unit holder against distribution, if any.

DOCUMENTS REQUIRED (INDIVIDUAL):

- *Copy of valid CNIC or Passport of principal & joint unit holder(s)
- *Business / Employment proof of principal & joint unit holder(s)
- Copy of Valid Zakat Declaration of principal and joint holder(s)
- Copy of Declaration, in case of Non-Muslim

DOCUMENTS REQUIRED (CORPORATE):**INFORMATION / DOCUMENTS REQUIRED****AT THE TIME OF ACCOUNT OPENING AND INVESTMENT:****General (Applicable to all Institutions):**

- List and authorization of signatories
- Copy of CNIC of all signatories
- Board Resolution for Investment
- Copy of latest Financials / Audited Accounts

Partnership:

- Name of partnership and partners.
- Father's/Husband's Name of partners.
- Address.
- Telephone/Cell No(s).
- Copies of valid CNIC of all the partners.
- Copy of latest financials of partnership.
- Copy of Partnership deed.

Club Societies and Association:

- Certified copy of certificate of registration.
- Certified copy of bylaws/ rules and regulations.
- Board/Governing body Resolution (investment & list of authorized signatories).
- Copy of latest financials of Society/ Association.

Government Accounts:

- Special resolution/authority from concerned administrative department duly endorsed by the Ministry of Finance or Finance Department of the concerned Government.
- Copies of CNIC of all officers of the Federal/Provincial/ Local Government that are duly authorized to operate such Accounts in their official capacity.

Joint Stock Companies:

- Name of Company and its Directors.
- Registered Address.
- Telephone No.
- Latest Audited Accounts of the company.
- Board Resolution (investment & list of authorized signatories).
- Copies of valid CNICs of all Directors
- Copies of Memorandum and Articles of Association

Trusts:

- Certified copy of certificate of registration
- Copy of valid CNICs of all the Trustees.
- Certified Copies of Trust Deed.
- Trustee/ Governing body Resolution (investment & list of authorized signatories)
- Copy of latest financials of the Trust.

Executors and Administrators:

- Copy of valid CNICs of Executors / Administrators.
- Certified copy of Letter of Administration.

ADDITIONAL INFORMATION**Tax Exemption:**

- Copy of Valid Tax Exemption Certificate required under Section 150 of the Income Tax Ordinance, 2001 every year.
- Valid Approval / Recognition Certificate in case of Employees' Funds, Pension Funds, Provident Funds, Superannuation Funds, and Gratuity Funds.
- Any other valid documentation required under law to avail exemption.

Zakat Exemption:

- Copy of Valid Zakat Exemption Certificate/Documents, every year.
- Valid Approval / Recognition Certificate, in case of Employees' Funds, Pension Funds, Provident Funds, Superannuation Funds and Gratuity Funds.